

1805

Docket #: 374 USC2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Clackson et al  
Serial No.: 10/716,062  
Filed: November 18, 2003  
For: Regulation of Biological Events Using Novel Compounds

August 6, 2004

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Applicant hereby files the following documents on this date:

- Information Disclosure Statement Under 37 CFR §1.97(B), 1 page
- Form 1449, 5 pages

Respectfully submitted,

8/6/04

David L. Bernstein

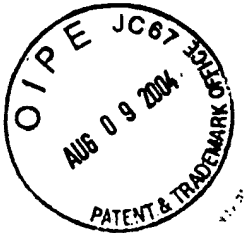
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Date: August 6, 2004

I hereby certify that this paper is being deposited with the United States Postal Service via First Class Mail under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450.

Signed:

Sue Wilson  
Sue Wilson



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**Information Disclosure Statement Under 37 CFR §1.97(B)**

Applicants and their agents/attorneys are aware of the following publications and information, and in accordance with 37 C.F.R. § 1.97 and § 1.98, wish to make them of record. Form PTO-1449 is enclosed.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 01-2315. Should there be any questions after review of this paper, the Examiner is invited to contact the undersigned at (617) 494-0400 x266.

Respectfully submitted,

Date: 8/6/04

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Date Aug. 6, 2004

Signed Sue Wilson  
Sue Wilson

Form PTO-1449 (Modified)	ATTY. DOCKET NO. 374 USC2	SERIAL NO. 10/716,062
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Clackson et al.	
	FILING DATE: 11/18/03	GROUP 1636

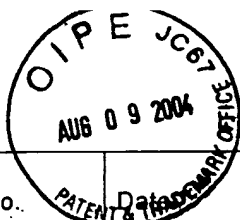
# U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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	*	5,091,389	02/25/92	Ondeyka			
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	*	5,109,112	04/28/92	Siekierka			
	*	5,116,756	05/26/92	Dumont			
	*	5,139,941	08/18/92	Muzyczka			
	*	5,140,018	08/18/92	Klein			
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\* a copy of this reference is not provided as it was previously cited by or submitted to the office in the related case, Patent No. 6,649,595, issued 11/18/03 and relied upon under 35 U.S.C. 120.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.


**U.S. PATENT DOCUMENTS**
**Serial No. 10/716,062 Filing date: 11/18/03**

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	*	5,457,182	10/10/95	Wiederrecht			
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	*	5,484,799	01/16/96	Hochlowski			
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	*	5,534,632	07/09/96	Or			
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	*	5,525,610	06/11/96	Caufield			
	*	5,597,715	01/28/97	Ford			
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	*	5,583,139	12/10/96	Or			
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	*	5,622,866	04/22/97	Motamedi			
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	*	WO92/05179	04/02/92	Caufield				
	*	WO92/14737	09/03/92	Shelley				

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Exam Init	Ref Des	Country & Doc. No. (11)	Publ Date (43)	Name	Class	Sub Class	Translation	
							Yes	No
	*	WO92/19595	11/12/92	Duggan				
	*	WO93/04680	03/18/93	Luly				
	*	WO93/10122	05/27/93	Failli				
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	*	WO93/13663	07/22/93	Katz				
	*	WO93/18043	09/16/93	Failli				
	*	WO93/24641	12/09/93	Carter				
	*	WO93/25533	12/23/93	Lane				
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	*	WO95/16691	06/22/95	Cottens				
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Author, Publication, Pertinent Pages, Date			
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	Borrelli, et al., (1988) Proc. Natl. Acad. Sci. USA, vol. 85: 7572-7576
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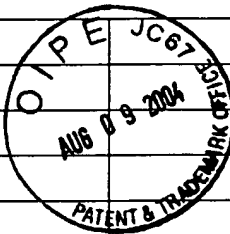
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